PTO/SB/05	(2/98)
-----------	--------

Please type a plus sign (+) inside this box -->

	r	- 10/3	0,00	(2/30
Approved for use	through 09/30/2000.	OMB	0651	-003

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 304-032

First Inventor or Application Identifier Phillip J. Hausman

Title SYSTEM AND METHOD FOR THE CONTROL AND DISTRIBUTION CONTENT FOR TELEVISION DISPLAY

Express Mail Label No. EV051923891US

EV051923891US (Only for new nonprovisional applications under 37 CFR § 1.53(b)) **Assistant Commissioner for Patents APPLICATION ELEMENTS** ն **Box Patent Application** ADDRESS TO: See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 \*Fee Transmittal Form (e.g., PTO/SB/17) 1. 6. Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Nucleotide and/or Amino Acid Sequence Submission 7. (if applicable, all necessary) 2. Specification [Total Pages 15] (preferred arrangement set forth below) a. Computer Readable Copy - Descriptive title to the Invention h. Paper Copy (identical to computer copy) - Cross References to Related Applications Statement verifying identity of above copies Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix **ACCOMPANYING APPLICATION PARTS** - Background of the Invention X Assignment Papers (cover sheet & document(s)) 8. - Brief Summary of the Invention 9. 37 C.F.R. § 3.73(b) Statement Power of Attorney - Brief Description of the Drawings (if filed) (when there is an assignee) - Detailed Description 10. English Translation Document (if applicable) - Claim(s) Information Disclosure 11. Copies of IDS - Abstract of the Disclosure Statement (IDS)/PTO-1449 Citations Drawing(s) (35 U.S.C. 113) [Total Sheets 1] **Preliminary Amendment** 12. X Oath or Declaration [Total Pages 1] 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Newly executed (original or copy) Copy from a prior application (37 C.F.R. § 1.63(d)) Statement filed in prior application, 14.  $\boxtimes$ \*Small Entity (for continuation/divisional with Box 17 completed) Status still proper and desired Statement(s) [Note Box 5 below] Certified Copy of Priority Document(s) 15. **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting **Express Mail Certification** Other: 16. inventor(s) named in the prior application, Nonpublication Request Under 35U.S.C.122(b)(2)(B)(i) see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). Incorporation By Reference (useable if Box 4b is checked) \*<u>NOTE FOR ITEMS 1 & 14</u>: IN ORDER TO BE The entire disclosure of the prior application, from which a ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. copy of the oath or declaration is supplied under Box 4b. § 1.27), EXCEPT IF ONE FILED IN A PRIOR is considered as being part of the disclosure of the APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). accompanying application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement: Continuation Divisional Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_ Prior application information: Examiner: Group/Art Unit: 18. CORRESPONDENCE ADDRESS 24112 Customer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Attach bar code label here) NAME **ADDRESS** CITY STATE ZIP CODE COUNTRY TELEPHONE FAX Name (Print/Type) 42.604

ಲ್ಲಿ - Under the Paperwork Reduction Act of 1995, no persons are require	Patent and T	PTO/SB/17 (2/98) Approved for use through 9/30/2000. OMB 0651-0032 rademark Office: U.S. DEPARTMENT OF COMMERCE formation unless it displays a valid OMB control number.
FEE TRANSMITTAL  Patent fees are subject to annual revision on October 1		Complete if Known
atent fees are subject to annual revision on October 1	Application Number	TBA
These are the fees effective December 29, 1999.	Filing Date	ТВА
Small Entity payments <u>must</u> be supported by a small entity statement.	First Named Inventor	Phillip J. Hausman
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.	Examiner Name	TBA
See 37 C.F.R. §§ 1.27 and 1.28.	Group Art Unit	TBA
TOTAL AMOUNT OF PAYMENT (\$)443.00	Attorney Docket No.	304-032

		METH	OD OF	<b>PAYMENT</b> (check o	ne)			F	EE CAL	CULATION (continued)	
The Commission is hereby authorized to charge		3.	ADDI	ΓΙΟΝΑL	FEES						
				y over payments to:		Large	Entity	Small	_		
"'	aioaica	ices and	orcon an	y over payments to.		Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Deposit	Г					Code	(\$)	Code	(\$)		
Accoun		18-1167				105	130	205	65	Curcharge late filing foe or eath	
Number	r L					127	50	205 227	25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	<del>    </del>
						127	30	221	25	or cover sheet	
Deposit Accoun		Coats & E	Sennett I	PIIC		139	130	139	130	Non-English specification	
Name	`	Coats & t	Jenneu, i	F.L.L.O.		147	2,520	147	2,520	For filing a request for reexamination	
	_					112	920*	112	920*	Requesting publication of SIR prior	
⊠ Ch	arne An	y Addition	nal	Charge the Is	sue Fee Set in					to Examiner action	
	guired L		iui	37 CFR §1.18 at the Mail		113	1,840*	113	1,840*	Requesting publication of SIR after	
		1.16 and	1.17	Notice of Allo		145	110	015		Examination action	<u> </u>
	4					115	110 380	215 216	55 190	Extension for reply within first month Extension for reply within second month	<del></del>
2. 💆		ment Enc	losed:		_	117	870	217	435	Extension for reply within third month	<del></del>
2	Che	ck _	ال	Money Order	Other	118	1,360	218	680	Extension for reply within fourth month	<del></del>
			FEE (	CALCULATION		128	1,850	228	925	Extension for reply within fifth month	<del></del>
1. B/	SIC EI	L <del>i</del> NG FE				119	300	219	150	Notice of Appeal	<del></del>
Large	Entity					120	300	220	150	Filing a brief in support of an appeal	
Fee	Fee	Fee	Fee	Fee Description	Fee Paid	121	260	221	130	Request for oral hearing	
Code	(\$)	Code	(\$)	r oo boompiion	1 00 1 010	138	1,510	138	1,510	Petition to institute a public use proceeding	
101	690	201	345	Utility filing fee	385.00	140	110	240	55	Petition to revive - unavoidable	
106	310	206	155	Design filing fee		141	1,210	241	605	Petition to revive - unintentional	
107	480	207	240	Plant filing fee		142	1,210	242	605	Utility issue fee (or reissue)	
108	760	208	380	•		143	430	243	215	Design issue fee	
114	150	214	75	Provisional filing fee		144	580	244	290	Plant issue fee	
				SUBTOTAL (1)	\$)385.00	122	130	122	130	Petitions to the Commissioner	
				- · · ·		123	50	123	50	Petitions related to provisional applications	
2. EX	TRA C	LAIM FI	EES		•	126	240	126	240	Submission of Information Disclosure Stmt.	
				Fee from		581	40	581	40	Recording each patent assignment per	40.00
			ı	Extra Claims below	Fee Paid	301	40	301	40	property (times number of properties)	40.00
Total Cl	aims	22	-20**	= 2 X 9.00	= 18.00	146	760	246	380	Filing a submission after final rejection	
Indeper	ndent	3	-3**	= 0 X 42.00	<b>=</b> 0					(37 CFR 1.129(a))	
Claims			-			149	760	249	380	For each additional invention to be	
		dent Clain		x	=					examined (37 CFR 1.129(b))	
	mber pri		aid, if gre Entity	eater; For Reissues, see b	elow						<u> </u>
Large Fee	Fee	Fee	Fee	Fee Descript	ion	Other fe	ee (specify)	١			l
Code	(\$)	Code	(\$)	ree Descript	Oli	0	oc (opcomy,		_		
103	18	203	9	Claims in excess of 20		Osbar fa	ee (specify)				-
103	78	203	39			Culerie	se (specify,	' —			
				Independent claims in ex	ess or 3	1					
104	260	204	130	Multiple dependent claim							
109	78	209	39	**Reissue independent cl	aims						
			_	over original patent		*Reduc	ed by Basi	c Filing F	ee Paid	SUBTOTAL (3) (\$)	40.00
110	18	210	9	**Reissue claims in exces	s of 20						
				and over original patent							
				SUBTOTAL (2) (\$	18.00						ļ
										— ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- ·- ·-	

SUBMITTED BY Complete (if applicable				ete (if applicable)	
Typed or Printed Name	Edward H. Green, III			Reg. Number	42,604
Signature	Edwardh Liver &	Date	October 28, 2003	Deposit Account User ID	18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Phillip J. Hausman	)
Serial No	) Express Mail Certification ) Label No. EV051923891US
Filed:	) Label No. E v 05192569105
For: SYSTEM AND METHOD FOR THE CONTROL AND DISTRIBUTION CONTENT FOR TELEVISION DISPLAY	) ) ) )
Attorney's Docket No. 304-032	)
	Raleigh, North Carolina
	October 28, 2003

## MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## EXPRESS MAIL CERTIFICATE LABEL NO. EV051923891US

DATE MAILED: October 28, 2003

I hereby certify that the enclosed Utility Patent Application Transmittal, Fee Transmittal Form (2 copies), specification and claims, drawings (1 sheet), Declaration and Power of Attorney, Assignment and Recordation Sheet, Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i), Information Disclosure Form, and our Check # 10447 in the amount of \$443.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

COATS & BENNETT, P.L.L.C.

By:

Edward H. Green, III Registration No. 42,604

Telephone: (919) 854-1844

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First I	Named Inventor	Phillip J. Hausman		
Title	Title System and Method for the Control and Distribution			
Attorney Docket Number		304-032		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/28/03

Date
Signature

(919) 854-1844

Telephone number

Signature

Edward H. Green, III

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.